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PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/787,970
Filing Date	September 26, 2001
First Named Inventor	Lindhofer, Horst
Group Art Unit	1642
Examiner Name	To Be Assigned
Attorney Docket Number	080575-000000US

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Total Number of Pages in This Submission

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Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
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M. Henry Heines

Reg. No. 28,219

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Date

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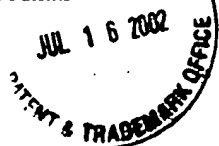
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PATENT  
Attorney Docket No.: 080575-000000US  
Client Reference No.: P12975 DRB/GO

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TOWNSEND and TOWNSEND and CREW LLP

By: REVER Kwan

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Horst LINDOHLER, et al.

Application No.: 09/787,970

Filed: September 26, 2001

For: TIME-STAGGERED  
UTILIZATION OF TUMOR CELLS IN  
COMBINATION WITH INTACT  
ANTIBODIES FOR IMMUNIZATION

Examiner: To Be Assigned

Art Unit: 1642

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the German application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

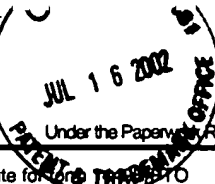
Respectfully submitted,



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Reg. No. 28,219

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Substitute for Form PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete If Known

Application Number 09/787,970  
 Filing Date September 26, 2001  
 First Named Inventor Lindhofer, Horst  
 Art Unit 1642  
 Examiner Name To Be Assigned  
 Attorney Docket Number 080575-000000US

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## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A	DE	196 49 223	C2	07301998			
	B	DE	197 10 497	C2	09071998			

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C	Piselli, P. et al., "In vitro and in vivo efficacy of heat shock protein specific immunotoxins on human tumor cells," Journal of biological Regulators and Homeostatic Agents, 1995, pp. 55-62, Vol. 9(2).	

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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